

Docket No.: 1016-013

**PATENT**

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Lup San Leong

Serial No.: 09/848,997

Filed: May 4, 2001

For: THERMAL MECHANICAL  
PLANARIZATION IN INTEGRATED  
CIRCUITS

Confirmation No.: 8978

Examiner: Maria F. Guerrero

Group Art Unit: 2822

TRANSMITTAL FOR ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Response / Amendment	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> After Final	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Affidavits/Declaration(s)	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Information Disclosure Statement, PTO Form-1449, & cited Reference(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	• Certificate of Transmission
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	<input type="checkbox"/> Request for Refund	• Fax Cover Sheet
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Remarks	

The fee, if required, has been calculated as shown below:

	NO. OF CLAIMS	HIGHEST PREVIOUSLY PAID FOR	EXTRA CLAIMS	RATE	FEE
Total Claims	12	20	0	x \$18 =	\$ 0.00
Independent Claims	2	3	0	x \$84 =	\$ 0.00
If multiple claims newly presented, add \$280					
Fee for extension of time					
Other:					
TOTAL FEE					\$0.00

- ☐ Please charge Deposit Account No. 50-0374 in the amount of \$ 0.00. An additional copy of this transmittal sheet is submitted herewith.
- ☒ The Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment, to Deposit Account No. 50-0374, including any filing fees under 37 CFR 1.16 for presentation of extra claims and any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

*Mikio Ishimaru*

FAX RECEIVED

FEB 18 2003

Mikio Ishimaru  
Registration No. 27,449  
Date: February 18, 2003

TECHNOLOGY CENTER 2800

PTO/SB/97 (08-00)

Approved for use through 10/31/2002. OMB 0851-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paper Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## Certificate of Transmission under 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted to  
the United States Patent and Trademark Office

**FAX RECEIVED**

on February 18, 2003  
Date

FEB 18 2003

TECHNOLOGY CENTER 2800

Vickie Ishimaru  
Signature

Vickie Ishimaru

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.

With reference to serial number 09/848,997, the following are  
being submitted:

Fax Cover Sheet  
Certificate of Transmission  
Transmittal  
Response/Amendment

Burden Hour Statement: This form is estimated to take 0.03 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time required to complete this form should be sent to the Chief Information Office, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents.

**The Law Offices of Mikio Ishimaru**

Intellectual Property Law  
Patents - Licensing - Strategy - & Related Matters  
1110 Sunnyvale-Saratoga Road, Suite A1  
Sunnyvale, CA 94087  
Telephone: (408) 738-0592  
Fax: (408) 738-0881

**Fax**

**FAX RECEIVED**  
**FEB 18 2003**  
**TECHNOLOGY CENTER 2800**

To: Box AF From: Mikio Ishimaru  
Examiner Maria F. Guerrero  
Fax: (703) 872-9319 Pages: 13, including this page  
TC 2800 - After Final  
Phone: 703-305-0162 Date: February 18, 2003  
Re: U.S. Patent Application Serial CC:  
No. 09/848,997

☒ Response/Amendment to Office Action ☐ Information ☐ Other

**IMPORTANT**

The information contained in this facsimile is intended only for the use of the individual or entity to whom it is addressed. If you are not the intended recipient, you are hereby notified that any use, dissemination, distribution or copying of this communication is strictly prohibited. If you have received this facsimile in error, please immediately notify us by telephone, and return the original message to us at the address above via the U.S. Postal Service. Thank you.

For confirmation or assistance, call (408) 738-0592

Dear Examiner Guerrero:

Attached are a Certificate of Transmission, Transmittal, and Response/Amendment in response to the Final Office Action dated December 17, 2002, for U.S. Patent Application Serial No. 09/848,997 (attorney docket no. 1016-013 ).

Respectfully submitted,



Mikio Ishimaru  
Reg. No. 27,449